

Seminar 1

(S1.1) Let (X, T) be a TDS and $x \in X$. Then

- (i) x is a forward transitive point if and only if $x \in \bigcup_{n \geq 0} T^{-n}(U)$ for every nonempty open subset U of X .
- (ii) Assume that (X, T) is invertible. Then x is a transitive point if and only if $x \in \bigcup_{n \in \mathbb{Z}} T^n(U)$ for every nonempty open subset U of X .

(S1.2) Let (X, T) be a TDS with X metrizable and $(U_n)_{n \geq 1}$ be a countable basis of X . Then

- (i) $\{x \in X \mid \overline{\text{orb}_+(x)} = X\} = \bigcap_{n \geq 1} \bigcup_{k \geq 0} T^{-k}(U_n)$.
- (ii) If (X, T) is invertible, then $\{x \in X \mid \overline{\text{orb}(x)} = X\} = \bigcap_{n \geq 1} \bigcup_{k \in \mathbb{Z}} T^k(U_n)$.

(S1.3) Let (X, T) be an invertible TDS. The following are equivalent:

- (i) If U is a nonempty open subset of X such that $T(U) = U$, then U is dense.
- (ii) If $E \neq X$ is a proper closed subset of X such that $T(E) = E$, then E is nowhere dense.

(S1.4) Define an equivalence relation on \mathbb{R} by

$$x \sim y \text{ if and only if } x - y \in \mathbb{Z}, \tag{C.1}$$

let \mathbb{R}/\mathbb{Z} be the set of equivalence classes $[x]$, and $\pi : \mathbb{R} \rightarrow \mathbb{R}/\mathbb{Z}$ be the natural projection. Endow \mathbb{R}/\mathbb{Z} with the quotient topology and for every $\alpha \in [0, 1)$ define

$$T_\alpha : \mathbb{R}/\mathbb{Z} \rightarrow \mathbb{R}/\mathbb{Z}, \quad T_\alpha([x]) = [x + \alpha].$$

Prove that $(\mathbb{R}/\mathbb{Z}, T_\alpha)$ is a TDS isomorphic with (\mathbb{S}^1, R_a) , where $\alpha \in [0, 1)$ and $a = e^{2\pi i \alpha}$.

(S1.5) Let (G, L_a) ($a \in G$) be the left translation on a compact group (see Example 1.1.3 in the lecture). Prove that if (G, L_a) is (forward) transitive, then actually all points are (forward) transitive.

Let \mathcal{F} be a collection of blocks over W , which we will think of as being the **forbidden blocks**. For any such \mathcal{F} , define $X_{\mathcal{F}}$ to be the set of sequences which do not contain any block in \mathcal{F} .

Definition . A **shift space** (or simply **shift**) is a subset X of a full shift $W^{\mathbb{Z}}$ such that $X = X_{\mathcal{F}}$ for some collection \mathcal{F} of forbidden blocks over W .

Note that the empty space is a shift space, since putting $\mathcal{F} = W^{\mathbb{Z}}$ rules out every point. Furthermore, the full shift $W^{\mathbb{Z}}$ is a shift space; we can simply take $\mathcal{F} = \emptyset$, reflecting the fact that there are no constraints, so that $W^{\mathbb{Z}} = X_{\mathcal{F}}$.

The collection \mathcal{F} may be finite or infinite. In any case it is at most countable since its elements can be arranged in a list (just write down its blocks of length 1 first, then those of length 2, and so on).

Definition . Let X be a subset of the full shift $W^{\mathbb{Z}}$, and let $\mathcal{B}_n(X)$ denote the set of all n -blocks that occur in points of X . The **language of X** is the collection

$$\mathcal{B}(X) = \bigcup_{n \geq 0} \mathcal{B}_n(X). \quad (\text{C.2})$$

For a block $u \in \mathcal{B}(X)$, we say also that u **occurs in X** or x **appears in X** or x **is allowed in X** .

(S1.6) Let $X \subseteq W^{\mathbb{Z}}$ be a nonempty subset of $W^{\mathbb{Z}}$.

- (i) $X \subseteq X_{\mathcal{B}(X)^c}$.
- (ii) If X is a shift space, then $X = X_{\mathcal{B}(X)^c}$. Thus, the language of a shift space determines the shift space.

(S1.7) Let $X \subseteq W^{\mathbb{Z}}$ be a nonempty subset of $W^{\mathbb{Z}}$. The following are equivalent

- (i) X is a shift space.
- (ii) For every $\mathbf{x} \in W^{\mathbb{Z}}$, if $\mathbf{x}_{[i,j]} \in \mathcal{B}(X)$ for all $i \geq j \in \mathbb{Z}$, then $\mathbf{x} \in X$.
- (iii) X is a closed strongly T -invariant subset of $W^{\mathbb{Z}}$.

(S1.8) Determine whether the following sets are shift spaces or not:

- (i) X is the set of all binary sequences with no two 1's next to each other.
- (ii) X is the set of all binary sequences so that between any two 1's there are an even number of 0's.
- (iii) X is the set of points each of which contains exactly one symbol 1 and the rest 0's.